UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: **C516.12-0005**

Date: November 25, 2003

First Named Inventor: Michael P. Corcoran

Title: LEFT ATRIAL APPENDAGE CLOSURE DEVICE

Express Mail No.: EV 302259785 US

10/721723

APPLICATION ELEMENTS

ADDRESS TO:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

- [X] Fee Calculation Sheet (Submit an original and a duplicate for fee processing)
- 2. [X] Applicant claims small entity status
- 3. [X] Specification

Total Pages [21]

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed. Sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure

4. [X] Drawings (35 U.S.C. 113)

Total Sheets [6] Total Pages [2]

- 5. [X] Oath or Declaration
 - a. [X] Newly Executed (original or copy)b. [] Copy from a prior application (37 C.F.R.
 - 1.63(d) for continuation/divisional with Box 18 completed)

[Mark Box 5 below]

I. [] <u>DELETION OF INVENTOR(S)</u>
Signed statement attached deleting inventor(s) named in the prior application,

see 37 C.F.R. 1.63(d)(2) and 1.33(b)

6. [X] Application Data Sheet. See 37 CFR 1.76.

7. CD-ROM or CD-R in duplicate, large

table or Computer Program (Appendix)

- Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)
 - a. [] Computer Readable Copy (CRF)
 - b. Specification Sequence Listing on:
 - i. [] CD-ROM or CD-R (2 copies; or
 - ii. 🛛 Paper
 - c. Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

- 9. [X] Assignment Papers (cover sheet & document(s))
- 0. [X] 37 C.F.R. 3.73(b) Submission
- [X] Power of Attorney
- 12. Information Disclosure Statement with copies of Citations as necessary

Total Pages []

- [X] Return Receipt Postcard (Should be specifically itemized)
- Certified Copy of Priority document(s) (If foreign priority is claimed)
- I Nonpublication Request under 35 U.S.C.
 122(b)(2)(B)(i). Application must attach form PTO/SB/35 or its equivalent
- 17. Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation

Division

Continuation-in-part (CIP) of prior Application No.

Prior Application Information: Examiner _ Group Art Unit _ For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

ATTY NAME AND REG. NO.	Alana T. Bergman Reg. No. 47,420	SIGNATURE: Clava Berge
ADDRESS	Kinney & Lange, P.A. THE KINNEY & LANGE BUILDING 312 South Third Street Minneapolis, MN 55415-1002	0
TELEPHONE	(612) 339-1863	FAX: (612) 339-6580

	Complete if Known				
FEE TRANSMITTAL	Application No.				
·	Filing Date			November 25, 2003	
	First Named Inventor		entor/	Michael P. Corcoran	
	Group Art Unit				
	Examiner Name				<u> </u>
Total Amount of Daymont 9909 00	Atty. Doc	cet Nu	mber	C516.12-0005	
Total Amount of Payment \$808.00	FFF OALOW ATION (Oardinard)				
METHOD OF PAYMENT (Check One) 1. [X] The Commissioner is hereby authorized to charge any	FEE CALCULATION (Continued) 3. ADDITIONAL FEES				
additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account Name: Kinney & Lange, P.A. A duplicate copy of this communication is enclosed	Large Entity Fee Fee Code (\$)	Small Fee Code	Fee (\$)	Fee Description	Fee paid
O. IVI. Charle Fundament	1051 130	2051	65	Surcharge - Late filing fee or oath	*
2. [X] Check Enclosed	1052 50	2052	25	Surcharge - late provisional filing fee or cover sheet	*
FEE CALCULATION	1053 130	1053	130	Non-English specification	
1. BASIC FILING FEE	1812 2,520	1812	2,520	For Filing a Request for Reexamination	*
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description	1251 110	2251	55	Extension for reply within first month	*
Code (\$) Fee Description 1001 770 2001 385 [X] Utility Filing Fee	1252 420	2252	210	Extension for reply within second month	<u>*</u>
1002 340 2002 170 [] Design Filing Fee	1253 950	2253	475·	Extension for reply within third month	*
1004 770 2004 385 [] Reissue Filing Fee	1254 1,480	2254	740	Extension for reply within fourth month	*
1005 160 2005 80 [] Prov. Filing Fee	1255 2,010	2255	1,005	Extension for reply within fifth month	*
Subtotal (1) \$385.00	1402 330	2402	165	Filing a brief in support of an appeal	*
2. EXTRA CLAIM FEES	1403 290	2403	145	Request for oral hearing	*
Number Prior** Extra Fee from Fee Paid Claims Below	1814 110	2814	55	Terminal Disclaimer Fee	*
Total <u>53</u> - <u>20</u> = <u>33</u> x <u>9</u> = <u>297.00</u>	1452 110	2452	55	Petition to revive - unavoidable	*
Indep. $\underline{5} - \underline{3} = \underline{2} \times \underline{43} = \underline{86.00}$	1453 1,330	2453	665	Petition to revive - unintentional	*
Multiple Dependent Claims _* = _*	1501 1,330	2501	665	Utility/Reissue issue fee	*
**Insert 3 and 20, or number previously paid if greater, Reissue see below	1502 480	2502	240	Design issue fee	*
Large Entity Small Entity	1460 130	1460	130	Petitions to the Commissioner	*
Fee Fee Fee Description <u>Code (\$) Code (\$)</u>	1807 50	1807	50	Petitions related to provisional applications	*
1202 18 2202 9 Claims in excess of 20	1806 180	1806	180	Submission of Information Disclosure Statement	*
1201 86 2201 43 Independent claims in excess of 3	8021 40	8021	40	Recording each patent assignment per	40.00
1203 290 2203 145 Multiple Dependent Claim				property (times number of properties)	*
1204 86 2204 43 Reissue Independent Claims Over Original Patent	1801 77	0 2801	1 385	Request for Continued Examination (RCE)	
1205 18 2205 9 Reissue claims in excess of 20 and over original patent	Other fee (spe	cify)	· · · · · · · · · · · · · · · · · · ·		*
Subtotal (2) \$383.00				Subtotal (3)) \$4U.UU
Mana Rom -				· . ·	

 Signature
 Reg. No.
 47,420

 Alana T. Bergman
 Deposit Account No.
 11-0982